

# Holonomy reductions of Cartan connections and invariant differential equations

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- This talk is based on joint work with Rod Gover (Auckland) and Matthias Hammerl (Vienna).
- Cartan geometries give a conceptual description of manifolds endowed with certain geometric structures as “curved analogs” of a homogeneous space. They can also be interpreted as particularly nice reductions of higher order frame bundles.
- Holonomy reductions of a Cartan geometry can be defined parallel to the case of principal connections, but they exhibit a richer structure, in particular giving rise to a decomposition of the manifold in question into “curved orbits”.
- Examples of such reductions can be obtained from parallel sections of so-called tractor bundles. In the special case of parabolic geometries, such parallel sections are related via the machinery of BGG sequences to solutions of certain geometric overdetermined systems.

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Let  $G$  be a Lie group and  $P \subset G$  a closed subgroup. Then the natural projection  $p : G \rightarrow G/P$  is a  $P$ -principal bundle, and one has the left Maurer–Cartan form  $\omega^{MC} \in \Omega^1(G, \mathfrak{g})$ , where  $\mathfrak{g}$  is the Lie algebra of  $G$ . The left actions of elements of  $G$  can be characterized as automorphisms of this principal bundle which are compatible with  $\omega^{MC}$ . This motivates:

### Definition

Let  $M$  be a smooth manifold with  $\dim(M) = \dim(G/P)$ . A *Cartan geometry* of type  $(G, P)$  on  $M$  is a principal  $P$ -bundle  $p : \mathcal{G} \rightarrow M$  together with a *Cartan connection*  $\omega \in \Omega^1(\mathcal{G}, \mathfrak{g})$ , i.e.

- 1  $\omega(u) : T_u\mathcal{G} \rightarrow \mathfrak{g}$  is a linear isomorphism  $\forall u \in \mathcal{G}$ .
- 2 For the principal right action  $r^g$  by  $g \in P$  we have  $(r^g)^*\omega = \text{Ad}(g^{-1}) \circ \omega$ .
- 3 For the fundamental vector field  $\zeta_X$  generated by  $X \in \mathfrak{p}$  we have  $\omega(\zeta_X) = X$ .

## Relation to frame bundles

Via the adjoint action,  $P$  acts on  $\mathfrak{g}$  and  $\mathfrak{p} \subset \mathfrak{g}$  is a  $P$ -invariant subspace. Thus there is an induced action  $\underline{\text{Ad}} : P \rightarrow GL(\mathfrak{g}/\mathfrak{p})$ . Let  $K$  be the kernel of this homomorphism and put  $\underline{P} := P/K$ .

- $\mathcal{G}/K \rightarrow M$  is a principal  $\underline{P}$ -bundle.
- projecting the values of  $\omega$  to  $\mathfrak{g}/\mathfrak{p}$ , the resulting form descends to a strictly horizontal,  $\underline{P}$ -equivariant one-form  $\theta \in \Omega^1(\mathcal{G}/K, \mathfrak{g}/\mathfrak{p})$  and  $\mathfrak{g}/\mathfrak{p} = \mathbb{R}^{\dim(M)}$ .
- Thus we obtain an induced first order structure on  $M$  with structure group  $\underline{P}$ .

More generally, one associates to  $g \in P$  the  $k$ -jet at  $o = eP$  of the left action of  $g$ . If this map is injective for some  $k$ , this makes  $P$  into a subgroup of the  $k$ th jet group. One shows that, via  $\omega$ ,  $\mathcal{G}$  defines a reduction of the  $k$ th order frame bundle of  $M$ .

## Examples

(1) For  $n \geq 2$  put  $G = Euc(n) = O(n) \ltimes \mathbb{R}^n$  and  $P = O(n) \subset G$ . Then  $G/P$  is Euclidean space,  $\mathfrak{g}/\mathfrak{p} = \mathbb{R}^n$  and  $\underline{Ad}$  is the standard representation of  $O(n)$  on  $\mathbb{R}^n$ . Hence a Cartan geometry  $(\mathcal{G} \rightarrow M, \omega)$  induces a first order  $O(n)$ -structure, which is equivalent to a Riemannian metric on  $M$ . The  $\mathfrak{o}(n)$  component of  $\omega$  defines a metric connection on  $TM$ , from which  $\omega$  can be recovered.

Via the orthonormal frame bundle and the Levi-Civita connection, one can conversely associate a Cartan geometry of type  $(G, P)$  to any Riemannian metric on  $M$ . This induces an equivalence of categories between Riemannian manifolds and torsion free Cartan geometries.

(2) Put  $G = PGL(n+1, \mathbb{R})$  and let  $P \subset G$  be the stabilizer of a line in  $\mathbb{R}^{n+1}$ , so  $P \cong GL(n, \mathbb{R}) \ltimes \mathbb{R}^{n*}$ . Then  $G/P = \mathbb{R}P^n$ ,  $\mathfrak{g}/\mathfrak{p} = \mathbb{R}^n$ ,  $P/K = GL(n, \mathbb{R})$  and  $\underline{\text{Ad}}$  is the standard representation. Thus the first order structure underlying a Cartan geometry of type  $(G, P)$  contains no information. Such a Cartan geometry turns out to be equivalent to a projective equivalence class of linear connections on  $TM$ .

The subgroup  $P \subset G$  in this example is *parabolic* in the sense of representation theory. For parabolic subgroups in semisimple groups there is a general theory, initiated by N. Tanaka, of equivalence of Cartan geometries (satisfying a condition on the curvature) to underlying structures. ("Parabolic Geometries") This leads to a description of conformal structures, hypersurface type CR structures, almost quaternionic structures, path geometries, quaternionic contact structures, and several types of generic distributions as Cartan geometries.

## Remarks

- The theorems establishing existence of canonical Cartan connections and equivalence of categories between Cartan geometries and underlying structures are often difficult and technically demanding.
- The curvature  $K = d\omega + \frac{1}{2}[\omega, \omega]$  of the Cartan connection is a basic and complete invariant of a Cartan geometry.
- I want to view this just as the beginning of the story, and explain some of the things one can do once one has a canonical Cartan connection available, in particular in the parabolic case. Hence the description as a Cartan geometry will always be considered as being given in the sequel.



# Holonomy of Cartan connections

Since  $\omega : T_u\mathcal{G} \rightarrow \mathfrak{g}$  is injective, there are no curves in  $\mathcal{G}$  which are parallel for  $\omega$ . To still get a notion of holonomy, one can connect to the classical case of principal connections:

Let  $(\mathcal{G} \rightarrow M, \omega)$  be a Cartan geometry of type  $(G, P)$  then:

- $\tilde{\mathcal{G}} := \mathcal{G} \times_P G$  is a  $G$ -principal bundle
- $\exists!$   $G$ -principal connection  $\tilde{\omega}$  on  $\tilde{\mathcal{G}}$  such that  $\tilde{\omega}|_{T\mathcal{G}} = \omega$ .
- One then defines  $\text{Hol}(\omega) := \text{Hol}(\tilde{\omega}) \subset G$ .

Recall that  $\text{Hol}(\tilde{\omega}) \subset G$  is defined up to conjugacy only. While this is harmless for principal connections it becomes a very important issue here, since a conjugation does not fix the subgroup  $P$  in general.

# holonomy reductions

In view of the conjugacy issue, it is better not to use a subgroup of  $G$  to define a holonomy reduction but rather a homogeneous space  $\mathcal{O}$  of  $G$ . (For example, one would use the space of inner products on  $\mathbb{R}^n$  instead of  $O(n) \subset GL(n, \mathbb{R})$  to describe the holonomy reductions of a linear connection given by a parallel metric.)

- Form the associated bundle  $\mathcal{G} \times_P \mathcal{O}$ .
- Since this can be viewed as  $\tilde{\mathcal{G}} \times_G \mathcal{O}$ , it inherits a canonical (non-linear) connection from  $\tilde{\omega}$ .
- A *holonomy reduction of type  $\mathcal{O}$*  of a Cartan geometry  $(\mathcal{G} \rightarrow M, \omega)$  is a section of  $\mathcal{G} \times_P \mathcal{O}$  which is parallel for this induced connection.

By general principles, sections of  $\mathcal{G} \times_P \mathcal{O} \cong \tilde{\mathcal{G}} \times_G \mathcal{O}$  can be either identified with  $P$ -equivariant functions  $\mathcal{G} \rightarrow \mathcal{O}$  or with  $G$ -equivariant functions  $\tilde{\mathcal{G}} \rightarrow \mathcal{O}$ . In the latter picture, a function corresponds to a parallel section if and only if it is constant along any curve which is horizontal for  $\tilde{\omega}$ . This can be used to clarify holonomy reductions of the homogeneous model:

- The bundle  $G \times_P G$  is canonically trivial via  $(g_1, g_2) \mapsto (g_1 P, g_1 g_2)$  and  $\tilde{\omega}$  is the flat connection induced by this trivialization.
- Hence any element  $\alpha \in \mathcal{O}$  determines a unique holonomy reduction of  $(G \rightarrow G/P, \omega^{MC})$  of type  $\mathcal{O}$  corresponding to the equivariant function  $G \rightarrow \mathcal{O}$  defined by  $s_\alpha : G \rightarrow \mathcal{O}$ ,  $s_\alpha(g) := g^{-1} \cdot \alpha$ , and any reduction is of this type.

## $P$ -types and curved orbit decomposition

Let  $(p : \mathcal{G} \rightarrow M, \omega)$  be a Cartan geometry of type  $(G, P)$  and consider a holonomy reduction of type  $\mathcal{O}$  corresponding to the equivariant function  $s : \mathcal{G} \rightarrow \mathcal{O}$ .

Then for any  $x \in M$ , the image  $s(\mathcal{G}_x) \subset \mathcal{O}$  of the fiber  $\mathcal{G}_x = p^{-1}(x)$  is a  $P$ -orbit in  $\mathcal{O}$ , called the  $P$ -type of  $x$ .

- Let  $\mathcal{O} = \cup \mathcal{O}_i$  be the decomposition of  $\mathcal{O}$  into  $P$ -orbits.
- Then there is a corresponding decomposition  $M = \cup M_i$  according to  $P$ -types. (Some of the  $M_i$  may be empty.)
- For the reduction  $s_\alpha$  of the homogeneous model constructed before, consider the stabilizer  $G_\alpha \subset G$  of  $\alpha$ . Then  $g_1P, g_2P \in G/P$  have the same  $P$ -type if and only if they lie in the same  $G_\alpha$ -orbit. In particular, one may identify  $\mathcal{O}/P$  with the space of  $G_\alpha$ -orbits in  $G/P$ .

The properties of the decomposition  $M = \cup M_i$  are studied using a local diffeomorphism (the “comparison map”) between the curved geometry and an appropriate holonomy reduction of  $G \rightarrow G/P$ . This is constructed using normal coordinates induced by Cartan connections. One shows:

- The comparison map intertwines the decompositions  $M = \cup M_i$  and  $G/P = \cup (G/P)_i$ . In particular, each  $M_i$  is an initial submanifold of  $M$ .
- For  $\alpha \in \mathcal{O}_i$  let  $G_\alpha \subset G$  be its stabilizer and put  $P_\alpha := G_\alpha \cap P$ . Then  $M_i$  inherits a canonical Cartan geometry of type  $(G_\alpha, P_\alpha)$  generalizing  $G_\alpha \rightarrow G_\alpha/P_\alpha = G_\alpha \cdot eP \subset G/P$ .
- The curvature of this induced geometry can be explicitly described in terms of the curvature of  $\omega$ .

A simple way to define a holonomy reduction of a principal bundle is by a parallel section of an associated vector bundle. In the case of a Cartan geometry  $(p : \mathcal{G} \rightarrow M, \omega)$  of type  $(G, P)$ , we have to use a vector bundle of the form  $\mathcal{G} \times_P \mathbb{V} = \tilde{\mathcal{G}} \times_G \mathbb{V}$ , for a representation  $\mathbb{V}$  of  $G$  (“tractor bundles”).

- Such sections can be either described by  $P$ -equivariant functions  $\mathcal{G} \rightarrow \mathbb{V}$  or by  $G$ -equivariant functions  $\tilde{\mathcal{G}} \rightarrow \mathbb{V}$ .
- In the latter picture, parallel sections correspond to functions which are constant along  $\tilde{\omega}$ -horizontal curves.
- For a parallel section  $s$ , the image of  $\tilde{\mathcal{G}}$  in  $\mathbb{V}$  hence is a  $G$ -orbit  $\mathcal{O} \subset \mathbb{V}$  (“ $G$ -type of  $s$ ”), which defines the type of holonomy reduction determined by  $s$ .
- The images of the fibers of  $\mathcal{G}$  in  $\mathcal{O}$  are  $P$ -orbits, which define the  $P$ -types of points.

In the case that  $G$  is semisimple and  $P \subset G$  is parabolic, one may assume that  $\mathbb{V}$  is an irreducible representation of  $G$ . Then  $\mathbb{V}$  inherits a canonical  $P$ -invariant filtration  $\mathbb{V} \supset \mathbb{V}^1 \supset \dots \supset \mathbb{V}^N$ , such that  $\mathbb{V}^i/\mathbb{V}^{i+1}$  is a completely reducible representation of  $P$  for each  $i$  and  $\mathbb{V}/\mathbb{V}^1 =: \mathbb{H}_0$  is even irreducible.

- The bundle  $\mathcal{H}_0 := \mathcal{G} \times_P \mathbb{H}_0$  is naturally a quotient of  $\mathcal{G} \times_P \mathbb{V}$ , so any section  $s$  of the tractor bundle induces  $\Pi(s) \in \Gamma(\mathcal{H}_0)$ .
- If  $s$  is parallel, then  $\Pi(s)$  lies in the kernel of a natural differential operator defined on  $\Gamma(\mathcal{H}_0)$  which gives rise to a geometric overdetermined system.
- In this way, one obtains twistor spinors and almost Einstein scales in conformal geometry, special conformal Killing forms and Killing tensors, and special infinitesimal automorphisms for all parabolic geometries.

Consider a parallel section  $s$  of  $\mathcal{G} \times_P \mathbb{V}$  of  $G$ -type  $\mathcal{O}$ . Since  $\mathbb{V}^1 \subset \mathbb{V}$  is  $P$ -invariant, also  $\mathcal{O} \cap \mathbb{V}^1$  and its complement in  $\mathcal{O}$  are  $P$ -invariant and hence a union of  $P$ -types. By construction, for  $x \in M$ , we have  $\Pi(s)(x) = 0$  if and only if the  $P$ -type of  $s$  at  $x$  lies in  $\mathcal{O} \cap \mathbb{V}^1$ , so the zero set of  $\Pi(s)$  is a union of  $P$ -types.

- Via comparison,  $s$  is related to a (local) parallel section of  $G \times_P \mathbb{V} \rightarrow G/P$ . The latter sections can be easily described explicitly.
- Hence the zero set of  $\Pi(s)$  cannot look worse than the one of this model section.
- The  $P$ -types provide a stratification of the zero set of  $\Pi(s)$  which again can look at most as complicated as the one for the model section.



## Ricci flat connections

Put  $G := SL(n+1, \mathbb{R})$ ,  $P \subset G$  the stabilizer of a ray in  $\mathbb{R}^{n+1}$  (so one gets oriented projective structures) and  $\mathbb{V} := \mathbb{R}^{(n+1)*}$ . Then  $\mathbb{V} \setminus 0$  is one  $G$ -orbit, which splits into three  $P$ -orbits. Two of these are open, one is closed and coincides with  $\mathbb{V}^1 \setminus 0$ . For a parallel section  $s$ , the underlying section  $\sigma := \Pi(s)$  is a density, which satisfies a natural second order equation, and one gets:

- The curved orbit decomposition has the form  $M = M_+ \cup M_0 \cup M_-$  with  $M_{\pm} \subset M$  open and  $M_0$  an embedded hypersurface which is the zero set of  $\sigma$ .
- On  $M_{\pm}$ ,  $\sigma$  determines a Ricci flat connection in the projective class, which has some completeness property.
- The hypersurface  $M_0 \subset M$  is totally geodesic and thus inherits a projective structure.

## Fefferman spaces

Put  $G := SO(2p + 2, 2q + 2)$ ,  $P$  the stabilizer of an isotropic line (so one gets conformal Riemannian structures of signature  $(2p + 1, 2q + 1)$ ). In  $\mathbb{V} := \mathfrak{so}(2p + 2, 2q + 2)$  there is the  $G$ -orbit  $\mathcal{O}$  of orthogonal complex structures on  $\mathbb{R}^{2p+2, 2q+2}$ .

- For a parallel section  $s$  of  $\mathcal{G} \times_P \mathbb{V}$  of this  $G$ -type, the underlying section  $\Pi(s)$  is a special conformal Killing field  $k$  on  $M$ .
- Since  $\mathcal{O}$  is also a single  $P$ -orbit,  $k$  is nowhere vanishing and thus defines a one-dimensional foliation of  $M$ .
- One then proves that a local leaf space of this foliation inherits a CR structures and  $M$  is locally conformally isometric to the Fefferman space of this CR structure.

## Almost Einstein scales

Consider conformal structures of signature  $(p, q)$ , so  $G = SO(p+1, q+1)$  and  $P \subset G$  the stabilizer of an isotropic line and  $\mathbb{V} = \mathbb{R}^{p+1, q+1}$ . Then  $\mathcal{H}_0$  is a density bundle.  $G$ -types in  $\mathbb{V}$  are the level sets of  $\langle v, v \rangle$  for the  $G$ -invariant inner product on  $\mathbb{V}$ , and the essential bit is the sign of  $\langle v, v \rangle$ .

- Any parallel section  $s \in \Gamma(\mathcal{G} \times_P \mathbb{V})$  has constant norm  $h(s, s)$  for the tractor metric  $h$  and  $\sigma = \Pi(s)$  satisfies the conformally invariant equation  $\nabla_a \nabla_b \sigma + P_{ab} \sigma = 0$ .
- For  $h(s, s) \neq 0$ , the curved orbit decomposition is  $M = M_0 \cup M_1$  with  $M_0 = \mathcal{Z}(\sigma)$  an embedded hypersurface.
- On  $M_1$ ,  $\sigma$  determines an Einstein metric in the conformal class, while  $M_0$  inherits a canonical conformal structure, and locally around  $M_0$  one obtains a Poncaré–Einstein metric.

## Klein-Einstein structures

This is an example for projective structures, which produces results which are similar in flavor to (but different from) Poincaré–Einstein metrics.

Take  $G = SL(n+1, \mathbb{R})$ ,  $P \subset G$  the stabilizer of a ray in  $\mathbb{R}^{n+1}$  and  $\mathbb{V} = S^2\mathbb{R}^{(n+1)*}$ . Then  $\mathcal{H}_0$  is a density bundle, and  $G$ -types are determined by rank and signature.

- If  $s$  is a parallel section, whose  $G$ -type is non-degenerate, then  $\sigma = \Pi(s)$  satisfies the projectively invariant equation

$$\nabla_{(a}\nabla_b\nabla_c)\sigma + 4P_{(ab}\nabla_c)\sigma + 2(\nabla_{(a}P_{bc)})\sigma = 0$$

- $P$ -types are determined by rank and signature of the restriction of the metric determined by  $s$  to the distinguished line subbundle in  $\mathcal{G} \times_P \mathbb{R}^{n+1}$ .

- The curved orbit decomposition has the form  $M = M_+ \cup M_0 \cup M_-$  with  $M_{\pm}$  open and  $M_0$  an embedded hypersurface.
- On  $M_{\pm}$ ,  $\sigma$  determines a connection  $\nabla$  in the projective class, for which  $P_{ab}$  is symmetric, non-degenerate and satisfies  $\nabla_a P_{bc} = 0$ . Hence  $P_{ab}$  defines a pseudo-Riemannian metric (whose signature is determined by the signature of  $s$ ) with Levi-Civita connection  $\nabla$ , and which must be Einstein.
- If  $M$  is compact, then these Einstein metrics on  $M_{\pm}$  are geodesically complete.
- $M_0$  canonically inherits a conformal structure (whose signature is determined by the signature of  $s$ ).